

# On the interaction of aspect and causality in two Hindi/Urdu ability constructions

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# Causal reasoning and causal language

## 'Practical' causal intuitions vs. linguistic causation

- causal reasoning draws on complex networks of relationships: **causal models**
- linguistic causation: typically binary *cause-effect* relations

## An alternative: causal models as discourse parameters

- causal language describes structures in an online language-independent representation
- discourse contributions interact (in familiar ways) with such representations
- model relationships can explicate linguistic effects

(Nadathur & Lauer 2020, Baglini & Bar-Asher Siegal 2021, a.o.)

**Today:** use this approach to shed light on two surprising inference patterns in abilitative constructions

## Two Hindi/Urdu ability constructions

The patterns of interest involve the interaction of **aspect** and **ability**:

### ① Aspectual complex predicates with **le** ('take'):

- (1) a. Anjum gaarīi calaa **le-tii** (hai).  
 Anjum car drive **take-IMPF.F.SG** (be.PRS.SG)  
 'Anjum will/does drive the car.'
- b. Anjum-ne gaarīi calaa **l-ii**.  
 Anjum-ERG car drive **take-PFV.F.SG**  
 'Anjum drove the car.'

Light verb **le** reinforces an episodic interpretation with **perfective** marking, but induces a **dispositional** (modal?) reading in the **imperfective** (Butt 1997)

## Two Hindi/Urdu ability constructions

The patterns of interest involve the interaction of **aspect** and **ability**:

### ② Ability attributions with **sak** ('can'):

- (2) a. Anjum gaarīi calaa **sak-tii** thii (lekin  
 Anjum car drive **can-IMPF.F.SG** be.PST.F.SG (but  
 us-ne gaarīi kabhii nahī̃ chalaā-yii.)  
 3SG.ERG car sometime NEG drive-PFV.F.SG.

'Anjum could drive the car (but she never drove the car).'

- b. Anjum gaarīi calaa **sak-ii** (#lekin us-ne gaarīi  
 Anjum car drive **can-PFV.F.SG** (#but 3SG-ERG car  
 nahī̃ calaa-yii)  
 NEG drive-PFV.F.SG

'Anjum was able to drive the car (#but she didn't drive the car).'

'Pure' (unrealized) ability in with the **imperfective**, but **actuality entailments** under **perfective** marking (Bhatt 1999)

## Two Hindi/Urdu ability constructions

The patterns of interest involve the interaction of **aspect** and **ability**:

- In both cases, **perfective** marking flattens a modal meaning which emerges in the **imperfective**
- **Actuality entailments** are a cross-linguistic phenomenon (*English was able*, *French pouvoir*, *Greek boro*, *Spanish poder*, *ser capaz*, ...), making an account from ambiguity unlikely
- The similarity between the **dispositional** and **actualizing** alternations suggests a unified analysis is possible

**Main idea:** abilitative/dispositional readings reflect a **shared causal structure**, with consequences for aspectual composition

# Outline of the talk

- 1 Introduction
- 2 The dispositional complex predicate: towards an analysis
- 3 From standard ability to implicativity
- 4 Causal semantics for implicativity
- 5 Implicative structure for the dispositional complex predicate
- 6 Conclusion

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## Light verb constructions

Hindi/Urdu has a rich system of **complex predicates**, formed by combining an (uninflected) 'main' verb with a **light verb** from a delimited set (Hook 1974, a.o.)

Based on (di)transitives	Based on intransitives
<i>le</i> ('take')	<i>aa</i> ('come')
<i>de</i> ('give')	<i>jaa</i> ('go')
<i>ḍaal</i> ('put')	<i>paṛ</i> ('fall')
<i>maār</i> ('hit')	<i>mar</i> ('die')
<i>nikaal</i> ('pry out')	<i>nikal</i> ('emerge')

Table: Some common light verbs (Butt 1993)

- (3) a. Anjum-ne baccō-ko so-ne **di-yaa**  
 Anjum-ERG children-DAT sleep-INF.OBL give-PFV.M.SG  
 'Anjum let the children sleep.'
- b. Anjum so **ga-yii**  
 Anjum sleep go-PFV.F.SG  
 'Anjum slept off.'



## Aspectual complex predicates

Light verb constructions have a range of functions, including **aspectual** ones:

- (4) a. Anjum-ne gaanaa gaa **ḍaal-aa**  
 Anjum-ERG song sing put-PFV.M.SG  
 'Anjum sang a song (deliberately, forcefully).'
- b. Anjum gaanaa gaa **par-ii**  
 Anjum song sang fall-PFV.F.SG  
 'Anjum fell to singing (spontaneously, involuntarily).'

- Light verb choice is associated with inception/completion information (Masica 1976, Butt 1993, Singh 1990, 1998, a.o.)
- The **dispositional** reading is restricted\* to complex **le** predicates (Butt 1997)

- (1a) Anjum gaarīi calaa **le-tii** (hai).  
 Anjum car drive take-IMPF.F.SG (be.PRS.SG)  
 'Anjum will/does drive the car.'

# Habitual and episodic interpretations

The core aspectual contrast is between **imperfectives** and **perfectives**:

- **Habitual** interpretation:

(5) Anjum gaari calaa-**tii** hai/thii  
 Anjum car drive-**IMPF.F.SG** be.PRS.SG/be.PST.F.SG  
 'Anjum drives/used to drive the car.'

- **Episodic** interpretation:

(6) Anjum-ne gaari calaa-**yii** (hai).  
 Anjum-ERG car drive-**PFV.F.SG** (be.PRS.SG)  
 'Anjum drove (has driven) the car.'

# The dispositional predicate

Perfective **le** ('take') is usually analyzed as an **aspectual auxiliary**

- (7) a. Maayaa-ne biskaṭ khaa-**yaa** lekin use puuraa nahī  
 Maya-ERG cookie eat-PFV.M.SG but it.ACC whole NEG  
 khaa-**yaa**  
 eat-PFV.M.SG  
 'Maya ate the cookie but did not finish it.'
- b. Maayaa-ne biskaṭ khaa **li-yaa**, #par use puuraa nahī  
 Maya-ERG cookie eat **take**-PFV.M.SG, #but it.ACC whole NEG  
 khaa-**yaa**.  
 eat-PFV.M.SG  
 'Maya ate the cookie, #but did not finish it.'

- **Le** appears to introduce a strong **culmination** requirement  
 (Singh 1998, Arunachalam & Kothari 2011, Altshuler 2014, Nadathur & Filip 2021)

## The dispositional predicate

So, why is there a “funny dispositional reading” for complex **le** predicates in the imperfective? (Butt 1997)

- (1a) Anjum gaarii calaa **le-tii** (hai).  
 Anjum car drive **take-IMPF.F.SG** (be.PRG.SG)  
 ‘Anjum will/does drive a car.’ (*Anjum can and does drive a car*)

- Comparable to **dispositional** (existentially-interpreted) English generics (Lawler 1973)

- (8) My pet toad will eat flies.  
*The toad can and does eat flies (under the right circumstances), but not necessarily in all eating situations, and not necessarily to the exclusion of other foods*

## Characterizing the dispositional reading

The **dispositional complex predicate** (DCP) is particularly appropriate as a counter to *negative expectation*:

- (9) a. acchaa, vo hindi bhii bol-tii hai?  
 yes, she Hindi also speak-IMP.F.SG be.PRS.SG  
 'Oh, she also speaks Hindi?'
- b. hãã hãã, bol le-tii hai. kyũ nahĩ bol-e?  
 yes yes, speak take-IMP.F.SG be.PRS.SG. why NOT speak-SUBJ  
 'Yes, she (can and) does speak Hindi. Why not?' (Butt 1997)

- (10) climate change-kii vajah-se vo aaj-kal gaarii nahĩ calaa  
 climate change-GEN reason-INST 3.SG today-tomorrow car NEG drive  
 rahii hai, lekin bilkul vo gaarii calaa le-tii  
 PROG.F.SG be.PRS.SG, but certainly 3.SG car drive take-IMP.F.SG  
 hai.  
 be.PRS.SG

'Due to climate change, she's not driving the car (regularly) these days, but she certainly (can and) does drive the car.'

(R. Bhatt)

## Characterizing the dispositional reading

The **dispositional predicate** differs from **standard ability** in whether or not the ability is exercised:

- (11) a. Anjum gaarīi calaa **sak-tii** hai, lekin  
 Anjum car drive **can-IMPF.F.SG** be.PRS.SG, but  
 cala-tii hii nahī  
 drive-IMPF.F.SG only NEG

‘Anjum can (has the ability) to drive a/the car, but (she) doesn’t drive.’

- b. Anjum gaarīi calaa **le-tii** hai, #/??lekin  
 Anjum car drive **take-IMPF.F.SG** be.PRS.SG, #/??but  
 cala-tii hii nahī  
 drive-IMPF.F.SG only NEG

‘Anjum (can and) does drive a/the car, #/??but (she) doesn’t drive.’

## Characterizing the dispositional reading

A **presuppositional** difference: particular conditions for the ability to be exercised

- (12) a. agar raastaa pakkaa ho, Anjum saikal calaa **le-gii**  
 if road correct be, Anjum cycle drive **take-FUT.F.SG**  
 'If the road is good, Anjum will ride a bicycle.'
- b. ??agar raastaa pakkaa ho, Anjum saikal calaa **sak-egi**  
 if road correct be, Anjum cycle drive **can-FUT.F.SG**  
 'If the road is good, Anjum will be able to ride a bicycle.'

- **(12b) ≠ (12a):**  
 (12a) predicts what Anjum *will* do, (12b) establishes what she's capable of
- **NB:** the dispositional reading also arises with future marking

# Characterizing the dispositional reading

## Generalizations:<sup>1</sup>

- 1 The subject (agent) has the ability to perform some action (specified by the 'main' predicate)
- 2 The agent *chooses* (and has been observed to choose to) exercise the ability (hence, *dispositional*)
- 3 The above combination makes the DCP well-suited to negative contexts (emphasizes countering the negative expectation)

**Interim conclusion:** a modal analysis (conditional necessity) is warranted

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<sup>1</sup>From Butt (1997), adapted with some carefully-chosen liberties of phrasing



## Happenstance: insights from Sinhala

A connection to **Sinhala involitive verbs?** (Inman 1993)

- Marked **involitives** alternate with unmarked/neutral **volitive** forms

(13) a. laməya kooppe **binda** (eet hitəla nemeyi)  
 child.NOM cup **break.PST** (but intend.PTCPL NEG)

‘The child broke the cup, but not intentionally.’

b. laməya atij kooppe **biñduna**  
 child ERG cup break.INV.PST

‘The child (accidentally) broke the cup.’

- It’s unlikely that **INV** is specified for accidentality or involition

(14) ?laməya atij piñgaanə hitəla **biñduna**  
 child ERG plate intend.PTCPL **break.INV.PST**

‘The child broke the plate on purpose.’

## Happenstance: insights from Sinhala

Present tense **involitives** have **dispositional** readings ( $\pm$  volition):

- (15) a. *kellə atij maalu ageetə pihenəwa*  
 girl ERG fish.ACC.PL very.well cook.INV.PRS  
 'The girl can cook fish very well' (De Silva 1960)
- b. *Mahatun atij mee kææmə hoñdətə hædenəwa*  
 Mahatun ERG this food well make.INV.PRS  
 'Mahatun makes this food well (as it turns out/unexpectedly).'

- Compare to **dispositional le**:

- (16) *Mahatun ye khaanaa acchaa banaa le-taa hai.*  
 Mahatun this food well make take-IMP.F.M.SG be.PRS.SG  
 'Mahatun (can and) does make this food well.'

- Entailment facts are also comparable:  
**INV**  $\rightarrow$  **VOL** (reverse marked), **le** predicates entail simple counterparts

## Happenstance: insights from Sinhala

### Inman's proposal: **INV** introduces **happenstantial modality**

- (17) a. The child **happened** to break the cup, #but she didn't break the cup.  
 b. Mahatun **happens** to make this dish well, #but he doesn't make it well.

- Happenstance is cashed out as **teleological** or **doxastic non-necessity**

$$(18) \llbracket \text{INV}(\alpha) \rrbracket^w := \alpha(w) \& \exists w' \in \text{ACC}(w) [\neg \alpha(w')]$$

(19) laməya atij kooppe **biñduna**

'The child happened to break the cup.'

*The child broke the cup and there is some world compatible with her intentions and circumstances in which she did not break the cup.*

(20) mahatuŋ atij mee kəæmə hoñdətə **hædenəwa**

'Mahatun happens to make this food well'

*Mahatun makes this food well and there is some world compatible with the speaker's expectations in which he does not do so.*

# A happenstantial view of the dispositional predicate?

## First pass:

(21)  $[[le(\alpha)]]^w := \alpha(w) \& \exists w' \in EP(w)[\neg\alpha(w')]$

(1a) Anjum gaariḥ calaa **le-tii** (hai).

Anjum car drive **take-IMPF.F.SG** (be.PRS.SG)

‘(As it happens), Anjum (can and) does drive a/the car.’

*Anjum drives the car and there is some world compatible with (my) expectations in which she does not drive the car.*

- No accidental reading for DCP, so ignore the goal-oriented option
- **Captures:** the entailment facts, and appropriateness in ‘unexpected’ contexts
- **Does not capture:** inference of ‘choice’ (subject chooses to exercise the disposition)
- **Unclear:** what happens to the presupposed conditions of exercise?<sup>2</sup>

<sup>2</sup>Butt (1997): *le* invokes conditional necessity, with a modal base containing “the speaker’s expectations and the conditions under which the subject will perform the given action”

## A happenstantial view of the dispositional predicate?

**Intuition:** two changes needed to get the facts right

- (a) Distinguish the **main predication** (the target event) from **deliberate choice**
- (b) Move modality into **not at-issue** content

(22) The child didn't happen to break the plate  
 → *She didn't break the plate, and it was possible that she would not break the plate.*

**Sketch proposal:**

- (23) Given a one-place predicate  $P$  and an agent  $x$ ,  $\text{le}(P)(x)$
- a. *Presupposes:* A prior choice  $A(x)$  for  $x$  is **necessary** and **sufficient** to bring about  $P(x)$
  - b. *Asserts:*  $x$  made choice  $A(x)$
- **Hope:** pushing modality into presuppositional content might help reconcile the dispositional reading with the apparently non-modal **perfective** use

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## The ability/actuality alternation

The Hindi/Urdu **ability modal sak** licenses **actuality entailments** (Bhatt 1999)

- **imperfective** aspect has a **pure ability** reading

(24) Yusuf havaii-jahaaz uraa **sak-taa**                    thaa,                    lekin  
 Yusuf air-ship                    fly **can-IMPF.M.SG** be.PST.M.SG, but  
 us-ne                    havaii-jahaaz kabhii                    nahĩ uraa-yaa.  
 3SG-ERG air-ship                    sometime NEG fly-PFV.M.SG  
 'Yusuf had the ability to fly planes, but he never flew a plane.'

- **perfective** aspect gives rise to an **actuality entailment**

(25) Yusuf havaii-jahaaz uraa **sak-aa**,                    #lekin us-ne  
 Yusuf air-ship                    fly **can-PFV.M.SG**, #but 3SG-ERG  
 havaii-jahaaz nahĩ uraa-yaa.  
 air-ship                    NEG fly-PFV.M.SG  
 'Yusuf was able to fly the plane, #but he didn't fly the plane.'

(also in French, Greek, Russian, ...)

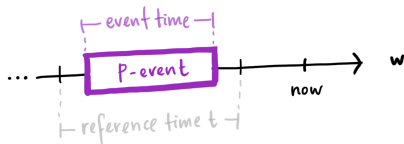
## The problem of actuality

- **Ability** is (typically) analyzed as **circumstantial possibility**

$$(26) \quad \llbracket \text{CAN} \rrbracket^{w, \text{CIRC}} := \lambda P \lambda e. \exists w' \in \text{CIRC}(w) [P(e)(w')]$$

- Grammatical **aspect** instantiates an event in relation to reference time

$$(27) \quad \llbracket \text{PFV} \rrbracket := \lambda w \lambda t \lambda P. \exists e [\tau(e) \subseteq t \ \& \ P(e)(w)] \quad (\text{Kratzer 1998})$$



- Composition at best predicts a **bounded time of possibility**

(28) Yusuf **could-PFV** fly the plane

$$\sim \exists e [\tau(e) \subseteq t \{ \prec t^* \} \ \& \ \exists w \in \text{CIRC}(w^*) [\text{fly-plane}(Y)(e)(w)]]$$

*The relevant past interval contains an event of Yusuf flying a plane in some circumstantially accessible world*



# The problem of actuality

## ① The problem of ability

'Ambiguity' is systematic across languages, ability predicates  
(ability modals, English *be able*, Spanish *ser capaz*, ...)

## ② The problem of modality

Actuality seems to erase the modality (possibility) of ability readings

## ③ The problem of aspect

No obvious reason why temporal information or 'viewpoint' aspect should have an actualizing effect

**Goal:** A univocal treatment of ability attributions that derives the distribution of **pure ability** and **actuality**

## A starting point: implicative *manage*

**Observation:** actualized **ability** is closer to **managed** than to **did** (Bhatt 1999)

- (25) Yusuf havaii-jahaaz uraa **sak-aa**, #lekin us-ne havaii-jahaaz  
 Yusuf air-ship fly **can-PFV.M.SG**, #but 3SG-ERG air-ship  
 nahĩ uraa-yaa.  
 NEG fly-PFV.M.SG

'Yusuf was able to fly the plane, #but he didn't fly the plane.'

- (29) ≡ Yusuf **managed** to fly the plane, #but he didn't fly the plane

- **Manage** and actualized **ability** also share a **projective inference**:

- (30) a. Anjum **managed** / did not **manage** to ride a bike.

- b. Anjum saikal (nahĩ) calaa **sak-ii**  
 Anjum cycle (NEG) drive **can-PFV.F.SG**

'Anjum was (not) able to ride a bike.'

↪ *cycling was unexpected? abnormal? difficult?*

# Actuality as implicativity?

**Bhatt's hypothesis:** **ABLE**  $\equiv$  **manage**

- **But:** no **pure ability** reading for **manage**

(31) Yusuf **manages** to fly a plane, #but he never flies a plane.

- ... even in an aspect-marking language (French **réussir**)

(32) Yusuf { **réussissait** / **a réussi** } à piloter un avion,  
 Yusuf { **managed-IMPF** / **managed-PFV** } to fly a plane,  
 #mais il n'a pas piloté d'avion.  
 #but he NEG-has NEG fly-PFV the-plane  
 'Yusuf { used to manage / managed } to fly a plane, #but he did not fly a plane.'

## Actuality entailments as implicative entailments:

- ① Equivalence is analytical, not lexical (**ABLE**  $\neq$  **manage**)
- ② **Manage** seems closer to the **dispositional predicate**

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## Implicative verbs

**Manage** belongs to a class of **implicative verbs** with a shared semantic template:

### (A) Two-way **complement entailments**

- (33) a. Ria **dared** to open the door.  $\rightarrow$  *Ria opened the door*  
 b. Ria did not **dare** to open the door.  $\rightarrow$  *Ria did not open the door*

### (B) **Projective inference**

- (33) Ria **dared** / did not **dare** to open the door.  
 $\leadsto$  *Opened the door required Ria to act bravely*

**What semantic components produce this inference pattern?**

## The presupposition(s) of *manage*

What **manage** projects is surprisingly **hard to pin down**:

(Coleman 1975, Karttunen & Peters 1979, Baglini & Francez 2016, a.o.)

- common proposals like *intention*, *difficulty*, *unlikelihood* aren't universal

- (34) a. **Without intending to**, Ms. Streisand [...] **managed** to synthesize the problem [...]  
 ✗ **intention**, ✗ **difficulty**, ~ **unlikelihood**
- b. By 1998, [...] gun manufacturers had **easily managed** to bypass the laws by making small alterations [...]  
 ~ **intention**, ✗ **difficulty**, ? ~ **unlikelihood**
- c. The Socialdemokratiet **managed** to strengthen their position as Denmark's strongest political force **as expected** [...]  
 ~ **intention**, ? ~ **difficulty**, ✗ **unlikelihood**

**What do these inferences have in common?**

# The implicative semantic template

Comparison with *dare* suggests that the presupposition is about a **prerequisite**

## ① Prerequisite relevance is presupposed (projective, not at issue)

- (33) Ria { **dared** / did not **dare** } to open the door.  
 ~> *Opening the door required Ria to act bravely*

## ② Assertion resolves prerequisite status (at issue)

- (33) a. Ria **dared** to open the door. → *Ria acted bravely*  
 b. Ria did not **dare** to open the door. → *Ria did not act bravely*

## ③ Complement entailments are derived as **causal consequences**

(33a) ~ *Ria's bravery resulted in her opening the door* sufficiency

(33b) ~ *Ria's lack of bravery stopped her opening the door* necessity

## Managing and doing

**Manage to**  $P$  presupposes the existence of a **causal prerequisite** for  $P$

Reasoning about **non-triviality**:  $P$  is non-trivial if you can't **just** do it

- something additional (and prior) is **required** in order to do  $P$   
(*alternatively*: some obstacle must be overcome *en route* to  $P$ ) (Karttunen 2014)
- **causal necessity** and **causal sufficiency** derive complement entailments
- underspecification of the **causal prerequisite** captures **non-triviality**
- **causal background knowledge** fills in the details:

(35) Nur **managed** to meditate yesterday.

- *Context*. Nur is extremely busy with work lately  
 $\leadsto$  *Finding/making time was required* (Finnish *joutaa*)

(35)  $\rightarrow$  Nur made the time (and consequently meditated)



## Implementation: causal network models (Pearl 2000)

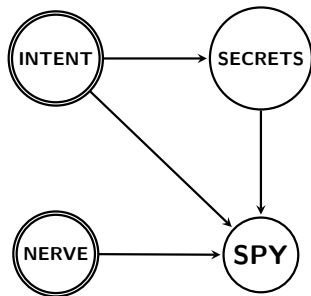
**Background.** Captain Dreyfus was wrongly accused of spying for the Germans.

**Relevant causal dependencies:**

- ① Collecting secrets requires treasonous intent
- ② Spying (sharing secrets) requires treasonous intent, secret collection, risk-taking

**A causal model for the Dreyfus affair:**

(finite graph + structural equations)

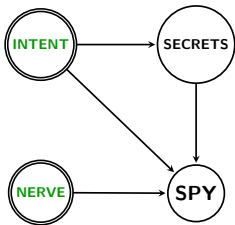


- ①  $\text{SECRETS} := \text{INTENT}$
- ②  $\text{SPY} := \text{INTENT} \wedge \text{SECRETS} \wedge \text{NERVE}$

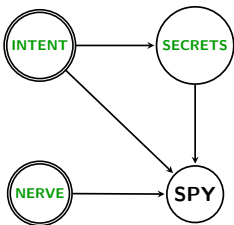
## Reasoning with causal models

Use background information to reason out causal consequences:

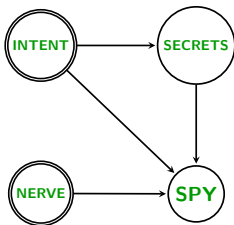
If **INTENT**, **NERVE** are **on**:



**INTENT** turns **SECRETS** **on**:



Which turns **SPY** **on** in turn:

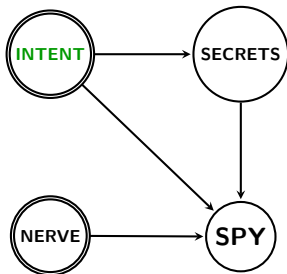


## Causal dependence relations

**Causal necessity, sufficiency** are labels for different structural configurations:

- given a background situation  $s$ , a cause  $C$  is **causally necessary** for an effect  $E$  iff there's no (consistent) path from  $s$  to  $E$  which does not flip  $C$

If we know that **INTENT** is **on**,  
**NERVE** is **necessary** for **SPY**



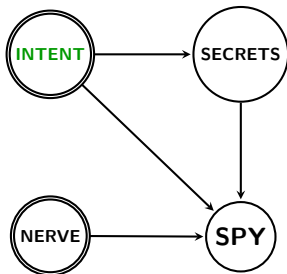
- 1 **SECRETS** := **INTENT**
- 2 **SPY** := **INTENT**  $\wedge$  **SECRETS**  $\wedge$  **NERVE**

## Causal dependence relations

**Causal necessity, sufficiency** are labels for different structural configurations:

- given a background situation  $s$ , a cause  $C$  is **causally sufficient** for an effect  $E$  iff adding  $C$  to  $s$  guarantees  $E$

If **INTENT** is **on**,  
**NERVE** is **sufficient** for **SPY**



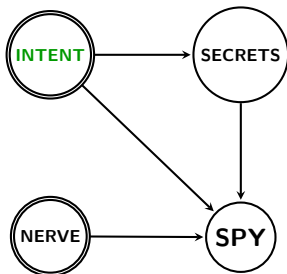
- SECRETS := INTENT**
- SPY := INTENT  $\wedge$  SECRETS  $\wedge$  NERVE**

## Causal dependence relations

**Causal necessity, sufficiency** are labels for different structural configurations:

- given a background situation  $c$ , a cause  $C$  is **causally sufficient** for an effect  $E$  iff adding  $C$  to  $c$  guarantees  $E$

If **INTENT** is **on**,  
**NERVE** is **sufficient** for **SPY**

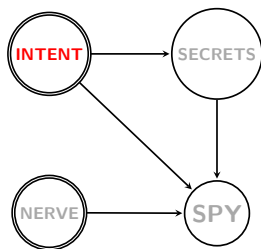


This is the right kind of context for **dare**:

- (36) a. Dreyfus **dared** to spy for the Germans.  
 b. Dreyfus did not **dare** to spy for the Germans.

## Implicatives and causal dependence

In actuality, Dreyfus was loyal to France:



(36a) ??Dreyfus **dared** to spy.

*requires:* **NERVE** is **causally necessary, sufficient** for **SPY**

**in context:** **NERVE** is insufficient

(37) ??Dreyfus **managed** to spy.

*requires:* condition/s jointly **causally necessary, sufficient** for **SPY**

**in context:** no set of sufficient conditions

## Interim summary: unpacking implicativity

Three key components work together to derive **implicative inferences**:

- ① **presupposition**:  
the existence of an unresolved **jointly necessary & sufficient condition** (or set thereof) for the complement
- ② **assertion**:  
**determines** the truth value of the **necessary & sufficient condition**
- ③ **modal flavour**:  
necessity & sufficiency are **causal**

$$\llbracket \textit{manage}(P)(x) \rrbracket^{w,t} := \lambda e. (\iota A_{\text{evt}}. \forall w' \in \text{CAUS}(w, t) [\text{IN}(t, w', A(x)) \leftrightarrow \text{IN}(t, w', P(x))]) (w)(e)^a$$

---

<sup>a</sup>Kaufmann (2013) outlines a procedure for mapping causal information from an SEM to the standard premise semantics format

## Interim summary: taking stock

If **actuality entailments** are (analytically) **implicative**:

- the components emerge compositionally for **actualized ability**

ABLE + PFV  $\equiv$  **manage**

**But:** the gap is smaller for the **dispositional complex predicate**

- happen to** has the implicative profile (Karttunen 1971, 2014)

- (39) a. Ria **happened** to break the plate.  $\rightarrow$  *Ria broke the plate*  
 b. Ria didn't **happen** to break the plate.  $\rightarrow$  *Ria didn't break the plate*  
 $\rightsquigarrow$  *There was something she did (or didn't do) which (would have) resulted in breaking the plate.*



## Choosy causal semantics for ability

**Basic idea:** **ability** attributing predicates (including *sak*) share the **causal background** of **manage** but differ in asserted content

- (40) A statement of the form  $x$  *is able to / can*  $P$
- Presupposes:* the existence of some action  $A(x)$  which is **causally necessary/sufficient** for  $P(x)$
  - Asserts:*  $A$  is in  $x$ 's **choice set** (doing  $A$  is a live option for  $x$ )
- Background assumption:* agents have **choice sets** (sets of immediately available actions) at given world-time pairs
- (41)  $\forall w, t, x[A(x) \in \text{CH}(x, w, t) \rightarrow \exists w' \in \text{CIRC}(w)[\text{IN}(t, w', A(x))]]$   
*Actions in  $x$ 's choice set at  $\langle w, t \rangle$  are possibilities for  $x$  at  $\langle w, t \rangle$*

## Choosy causal semantics for ability

**Ability modals** are stronger than circumstantial possibilities (Thalberg 1972, Kenny 1976, Cross 1986, Brown 1988, Belnap 1991, ...)

- circumstantial possibility is licensed by single witnesses, but **ability** is not

(42) *Context:* Rookie Tara makes a hole in one during the reference interval

a. ??Tara **can** (has the ability to) make a hole in one.

b. ??Taaraa hole in one kar **sak-tii** hai

??Tara hole in one do **can-IMPF.F.SG** be.PRS.SG

'Tara has/had the ability to make a hole in one.'

- Upshot:** **ability** is a **hypothetical guarantee** (cf. Mandelkern et al 2017)  
*Agent x is able to P at  $\langle w, t \rangle$  if x can choose the final cause of  $P(x)$*

$$\llbracket \text{ABLE}(P)(x) \rrbracket^{w,t} := \\ (\iota A. \forall w' \in \text{CAUS}(w, t) [\text{IN}(t, w', A(x)) \leftrightarrow \text{IN}(t, w', P(x))]) (x) \in \text{CH}(x, w, t)$$

## Getting from ability to actuality: an overview

The **ability** semantics make it a special stative: a **dynamic capacity attribution**

(44) Juno is loud/fast/tactful.

*Juno is capable of actions which are loud/fast/tactful.*

**Dynamic capacities** have distinctive interactions with grammatical aspect  
(key data from French)

- **Imperfective** requires consistency through reference period (non-uniform)

(45) Juno **était** rapide.

Juno was.IMPF fast

'Juno was (generally) fast.'

- **Perfective** is interpreted as **manifestation**

(46) Juno **a été** rapide.

Juno was.PFV fast

'Juno was (did something) fast.'

## Getting from ability to actuality: an overview

Enough constructions as **specific abilities** (compare *dare* to *manage*):

(47) Juno **was fast enough** to win the race

~ *Juno can win the race, in view of her capacity for speed*<sup>3</sup>

(48) a. Juno **était assez rapide** pour gagner la course  
 Juno **was-IMPF enough fast** for win the race

'Juno was fast enough to win the race.'

b. Juno **a été assez rapide** pour gagner la course  
 Juno **was-PFV enough fast** for win the race

'Juno ran fast enough to win the race.'

→ *She won*

**Aspectual coercion:** **PFV** selects **eventives** (Moens & Steedman 1988, Bary 2009)

- robust evidence for *inchoative* and *complexive/maximalizing* forms of coercion
- **evidential** coercion (as in 48b) reported previously as *dynamic, actualistic inchoative* (de Swart 1998, Fernald 1999, Homer 2011/2021, Nadathur 2019/2023)

<sup>3</sup> *Juno's actual speed (capacity) is at least as great as the minimum necessary speed required for race-winning* (becomes sufficient as the final necessary complement cause; Nadathur 2023a)

## Getting from ability to actuality: an overview

**Upshot:** if ABLE/*sak* is a **dynamic stative**, **PFV-triggered coercion** levels the contrast with *manage*

- (49) Yusuf gaarīi calaa **sak-aa**  
 Yusuf car drive **can-PFV.M.SG**  
 'Yusuf **managed** to drive the car.'

- Presupposes:* Some action by Yusuf was the final cause of car-driving  
 $\exists A : \forall w' \in \text{CAUS}(w, t)[\text{IN}(t, w', A(Y)) \leftrightarrow \text{IN}(t, w', \text{drive-car}(Y))]$
- Base assertion:* The proximate cause was in Yusuf's (local) choice set  
 $A(x) \in \text{CH}(Y, w, t)$  (stative)
- With coercion + PFV:* Yusuf chose (acted on) the proximate cause  
 $\text{IN}(t, w, A(Y))$
- Entailed result:** Yusuf drove the car  
 $\text{IN}(t, w, \text{drive-car}(Y))$

# Outline of the talk

- 1 Introduction
- 2 The dispositional complex predicate: towards an analysis
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## Le as an implicative

Recall the proposal sketch for the **dispositional complex predicate**:

(22) **Proposal sketch:**

Given predicate  $P$  and agent  $x$ ,  $le(P)(x)$  presupposes that some (prior) choice by  $x$  is **necessary** and **sufficient** to **bring about**  $P(x)$ .  $Le(P)(x)$  asserts that  $x$  made (acted on) this choice.

(1a) Anjum gaarii calaa **le-tii** (hai).

Anjum car drive **take-IMPF.F.SG** (be.PRS.SG)

‘Anjum will/does drive the car.’ (Anjum (can and) does drive the car)

This looks a lot like **manage**, or actualized **ability**:

(50)  $[[le(P)(x)]^{w,t}] := \lambda e. (\iota A_{vt} \in CH(x, w, t). \forall w' \in CAUS(w, t)$   
 $[IN(t, w', A(x)) \leftrightarrow IN(t, w', P(x))])(w)(e)$

$\sim$  Agent  $x$  chooses the proximate cause of  $P(x)$

## Le as an implicative

**Eventives** get **habitual** readings under **imperfective**:

- eventive  $P \mapsto$  predicate of *relevant times* when  $P$  is instantiated
- First pass at HAB: relevance specified via salient pred.  $R$ , which picks up presuppositions of eventive  $P$  (cf. Schubert & Pelletier 1989 on GEN)

$$(51) \quad \llbracket \text{HAB} \rrbracket := \lambda w \lambda t \lambda R \lambda P . \forall t' [t' \subset t \ \& \ R(w)(t')] \llbracket \text{IN}(t', w, P) \rrbracket$$

$$(52) \quad \llbracket \text{IMPF}(\text{HAB}(\text{le}(P)(x))) \rrbracket = \\ \lambda w \lambda t . \exists t [t \supset t^* \ \& \ \forall t' [t' \subset t \ \& \ \iota A \in \text{CH}(x, w, t) . \forall w' \in \text{CAUS}(w, t') \\ \llbracket \text{IN}(t', w, A(x)) \leftrightarrow \text{IN}(t', w', P(x)) \rrbracket] \llbracket \text{IN}(t', w, A(x)) \rrbracket]$$

*All situations in which  $x$  has a choice which is necessary/sufficient for  $P$  are ones in which  $x$  acts on this choice*

- (53) agar raastaa pakkaa ho, Anjum saikal calaa **le-tii** hai  
 if road correct be, Anjum cycle drive **take-IMPF.F.SG** be.PRS.SG  
 'If the road is good, Anjum rides a bicycle.'

*When the road is good, Anjum has a choice which is necessary/sufficient for her to ride a bike, and she makes this choice.*



## Le as an implicative

Eventive **le** predicate combines straightforwardly with **perfective**:

$$(54) \quad [\text{PFV}(\text{le}(P)(x))] = \exists e[\tau(e) \subseteq t \ \& \ (\iota A \in \text{CH}(x, w, t). \forall w' \in \text{CAUS}(w, t) \\ [\text{AT}(t, w', A(x)) \leftrightarrow \text{IN}(t, w', P(x))])(w)(e)]$$

*Agent x had a choice which was causally necessary and sufficient for realizing P within reference time and acted on that choice*

(1b) Anjum-ne gaarii calaa **l-ii**.

Anjum-ERG car drive take-PFV.F.SG

'Anjum drove the car.' (Anjum chose to drive the car)

*Anjum had a choice which was necessary/sufficient for her to drive, and she made this choice (so she drove)*

- **Prediction:** this should only be appropriate in contexts that support the causal presupposition. (Easily accommodated for agentive behaviours)
- The presupposition contributes to the volitionality effect, by establishing that the agent *chose* to bring about a *P* event

## Three complications

① If *le*  $\equiv$  *manage*, the following **should share an interpretation**:

(55) Anjum **managed** to drive a car.

(2b) Anjum gaar̄ii calaa **sak-ii**  
 Anjum car drive **can-PFV.F.SG**  
 'Anjum was able to drive the car.'

(1b) Anjum-ne gaar̄ii calaa **l-ii**.  
 Anjum-ERG car drive **take-PFV.F.SG**  
 'Anjum drove the car.' (Anjum chose to drive the car)

- (1b) seems weaker than (55) and (2b): *P* is still non-trivial, but easier than *manage* and *sak* suggest
- Choosy (or *stit*; Belnap & Perloff 1988) semantics seems right for *le*
- **Maybe**: right analysis for *le*, and something missing from the *manage* semantics to capture more robust non-triviality<sup>4</sup>

<sup>4</sup>E.g., Alonso-Ovalle & Hsieh (2021) on anti-expectation semantics for Tagalog AIA form

## Three complications

### ② Complex **le** predicates are **not compatible with negation**<sup>5</sup>

- (56) a. \*us-ne gaanaa nahĩ gaa **li-yaa**  
 3SG-ERG song NEG sing **take-PFV.M.SG**  
*Intended:* 'He didn't (choose to) sing a song (completely).'
- b. \*vo gaanaa nahĩ gaa **le-taa**  
 3SG-ERG song NEG sing **take-IMPF.M.SG**  
*Intended:* 'He doesn't/won't (choose to) sing songs.'

- If *le*  $\equiv$  *manage*, no explanation for (56)
- An **explanation sketch from Singh (1990)**:  
 Light verbs focus points of inception/completion and instantiate full main predicate event; negation targets the event, so inception/culmination points do not exist

<sup>5</sup>Well-reported previously for *le-* and other light verb perfectives (Singh 1990, Butt 1993).

## Three complications

### ③ How does the **culmination contrast** arise?

(7) a. Maayaa-ne biskat̪ khaa-**yaa** lekin use puuraa nahī̄  
 Maya-ERG cookie eat-**PFV.M.SG** but it.ACC whole NEG  
 khaa-**yaa**  
 eat-**PFV.M.SG**

'Maya ate the cookie but did not finish it.'

b. Maayaa-ne biskat̪ khaa **li-yaa**, #par use puuraa nahī̄  
 Maya-ERG cookie eat **take-PFV.M.SG**, #but it.ACC whole NEG  
 khaa-**yaa**.  
 eat-**PFV.M.SG**

'Maya ate the cookie, #but did not finish it.'

- **Previously:** simple **PFV** has modal semantics, complex **le PFV** has the 'standard' culminating meaning
- **So:** if **le** only establishes that  $P(x)$  was chosen/caused, non-culminated reading predicted
- **Suggestion:** non-culmination comes from main verb semantics, not **PFV**

## Towards a resolution

### Idea: *le* causal structure merges with event structure of main predicate

- Aspectual **light verbs are not clause embedding**: evidence from scrambling (below), control, and modification (Butt 1993)

- (57) a. anjum-ne [likh **li-yaa**] patr.  
 Anjum-ERG [write **take-PFV.M.SG**] letter  
 'Anjum wrote a letter.'
- b. \*anjum-ne likh patr li-yaa.  
 anjum-ERG write letter take-PFV.M.SG  
 'Anjum wrote a letter.'

- Butt, Isoda & Sells (1990)*: light verbs introduce **transparent event** structures whose arg structure, *Aktionsart* get fused with main pred structure
- Butt (1993)*: transparent *le*-event is specified for volition agents and endpoints (doesn't merge with main verbs with conflicting specs)

## Towards a resolution

**Idea:** Predicates merge (in constrained ways) into an **accomplishment event structure** (cause, process, result) (Butt & Ramchand 2003)

- **Le** instantiates cause (+VOL), main verb process and/or result

### How might fusion work on the implicative analysis?

- **Telic** main verbs have **internal causal structure**, denotation incl. non-culminated events: (Nadathur & Filip 2021, Nadathur & Bar-Asher Siegal 2022): **n/s** presupposition forces initiation to guarantee culmination
- **Atelic** main verbs wind up **closer to manage**: activity is produced (only) by conscious choice

(58) *Acceptable in context:* Dancing in the Taj is forbidden, but Anjum really wanted to

Anjum-ne    Taaj Mahaal-mein naac    **li-yaa**  
 Anjum-ERG Taj    Mahal-IN    dance **take-PFV.M.SG**

'Anjum managed to dance in the Taj Mahal.'

(R. Bhatt, p.c.)

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## Summary

**Observation:** a parallel in the aspectual behaviour of two ability constructions

- **PFV** in both cases eliminates modality detectable with **IMPF**

**Shared semantics:** *le* and *sak* share **causal background structure** with *manage*

- *Shared presupposition:* action/choice is **causally necessary/sufficient** for target
- *Divergent assertion:* *sak/ABLE* asserts capacity (stative), *manage/le* realizes cause (eventive)
- Modal 'flattening' is an illusion: aspectual effects are predicted by *Aktionsart*

**Implicativity and event structure:**

- Aspectually and structurally: *le* ~ *manage*, **but** *le* fuses with embedded predicate
- **Looking ahead:** 'true' implicatives vs. 'implicative' light verbs may offer support for a complex causal view of event structure (Baglini & Bar-Asher Siegal 2021, Nadathur & Bar-Asher Siegal 2022, Nadathur 2024)



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