Two ways to be non-inertial:
Frustrativity and event maximality in O'dam

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1 Introduction: frustrative markers

> **Definition** (Overall 2017). A *frustrative* is a grammatical marker that expresses the non-realization of some expected outcome implied by the proposition in the marked clause.

- Frustratives take clausal scope, are often associated with unrealized intention (as well as expectation), and involve a second proposition; this second proposition may be implicit/pragmatically determined and is typically not grammatically required.
- Frustratives operate at the interface between aspect and modality, with a range of uses that can vary cross-linguistically, depending on the TAM resources of the language in question.
- *Existing semantic work:* Copley and Harley 2014 (Tohono O'odham *cem*), Davis and Matthewson 2016, 2022 (St'àt'imcets *séna7*), Carol and Salanova 2017 (Chorote *ta*, Mẽbengokre *te*), Kroeger 2017, 2024 (Kimaragang *dara*)

1.1 A non-exhaustive list of uses

1. **Frustrative 'proper'** (cf. Carol and Salanova 2017): the event in the marked clause is fully realized, but some expected/intended result does not occur

   (1) *Huan 'at*   ⟨*cem*⟩ *ku:pio g*   *pualt*
        Juan aux-PFV FRST open DET door
        'Juan opened the door in vain.'   (Tohono O'odham, Copley and Harley 2014)

   (2) *N-o-sii-∅*   *ku*   *no*   ⟨*dara*⟩ *it=tasu*   *nga' n-iit-an*   *oku-i'*
        PST-NVOL-shoo-OV 1SG already FRST NOM=dog but PST-bite-DV 1SG=EMPH
        'I shooed the dog but I got bitten anyway.'   (Kimaragang, Kroeger 2017)

2. **Incompletive:** the event in the marked clause is only partially realized

   (3) *Huan 'o*   ⟨*cem*⟩ *kukpi'ok*   *g*   *pualt*
        Juan aux-IMPF FRST open-IMPF DET door
        'Juan was trying to open the door.'   (Tohono O'odham, Copley and Harley 2014)

(4) *K\<um\>orop no* | *dara* | *it=pilat*   *dialo, naka-raa*   *kembagu*
      \<AV\>scab   COMPL FRST NOM=wound 3SG   PST.AV.NVOL-blood again

      'His wound was beginning to heal/form a scab, but then it started bleeding again.'

                                                (Kimaragang, Kroeger 2024)

- Incompletive uses have mostly been examined for telic predicates (cf. Copley and Harley 2014). In this context, the relevant culmination condition goes unrealized, and frustrativity appears akin to a (strengthened) progressive aspect, despite clausal scope

- We will suggest that frustrative incompletivity need not be tied to aspectual incompletivity: atelic predicates in O'dam can also receive 'incompletive' (non-maximal) interpretations which differ from 'proper' or 'avertive' readings

3. **Avertive:** the event in the marked clause is not even initiated (counter to expectation)

(5) *Huan 'at*   *o* | *cem* | *kukpi'ok g*   *pualt*
      Juan aux.PF FUT FRST open   DET door

      'Juan was going to open the door.' (he tripped before he got there)

                                     (Tohono O'odham, Copley and Harley 2014)

(6) *Iit-an*   *oku no* | *dara* | *da-tasu*   *nga' a=tanak*   *po=ot*   *nokoponii*
      bite-DV 1SG COMPL FRST GEN=dog but NOM=child FOC=NOM AV.PST.say.*sii*

      'I was about to be bitten by the dog, but the child said "Shii!"

                                          (Kimaragang, Kroeger 2024)

- Avertive uses require something to be going on in the reference situation which would plausibly lead to the (frustrated) expectation; paraphrasable with 'almost' or 'nearly'

- In some languages (e.g., Tohono O'odham), avertive readings are only possible with overt futurity in the marked clause, but this is not universal (Carol and Salanova 2017; Kroeger 2024)

4. **Discontinuous past:** a past state obtained but is no longer extant (may be assimilated to 'proper' frustrativity if the expected result of a state is its continuation)

(7) *Waro* | *dara* | *siin*   *ku*   *nga' n-i-baray*   *ky*   *dot=tutang*
      exist FRST money 1SG.GEN but PST-IV-pay 1SG.GEN ACC=debt

      'I did have some money but I used it to pay off my debt.'
      init = I had some money; *exp* = I will still have the money

                                          (Kimaragang, Kroeger 2024)

- **Other reported uses:** (attested in O'dam, not discussed today)

  - *Optative/desiderative*: the marked clause is desired by the speaker/subject but does not obtain at reference time (also used to make polite requests; Kroeger 2017, 2024)

  - *Counterfactual conditionals:* conditional consequent does not obtain because the antecedent does not obtain (Carol and Salanova 2017; Overall 2017)

> **Today:** we discuss the properties of two frustrative particles (see 8) in the O'dam language of northern Mexico (Tepiman < Uto-Aztecan; ISO 639-3 stp García Salido and Everdell 2020).

- O'dam is cross-linguistically rare in having two frustratives:

(8)   a.   *tɨi* 'FRUSTRATIVE'
      b.   *tɨip(up)* 'FRUSTRATIVE.NONMAXIMAL'[1]

- The particles above have historically both been glossed as INT.NR ('nonrealized intention'), but we will argue that they are not semantically equivalent:

  – Informally, *tɨi* leaves open the possibility of a "better outcome" (i.e., that the frustrated expectation can still come to pass), while *tɨip(up)* rules this out.[2]

(9)   a.   *Añ*   ⟨*tɨi*⟩   *nɨira-'*   *gu*   *camion*
      1SG.SBJ INT.NR wait-IRR DET bus

      'I'm waiting for the bus (but it still has not come)' [said while you are waiting]

      b.   *Añ*   ⟨*tɨipup*⟩ *nɨira-t*   *gu*   *camion*
      1SG.SBJ INT.NR wait-IMPF DET bus

      'I was waiting for the bus (but it never came)'

  – Additionally: *tɨi* can convey that while the event in the marked clause was realized, some expected later outcome was not (frustrativity proper), whereas *tɨip(up)* rules out that the modified event itself (success)fully occurred

(10)   ⟨*Tɨi*⟩   *jii*   *gu*   *maikol koba'-ram*   *dai na*   *gu*   *Wendy cham mu*
      INT.NR go.PFV DET Michael La Candelaria but SUB DET Wendy NEG   DIR
      da-ka-t
      be.sitting-ST-IMPF

      'Mike went to La Candelaria but Wendy wasn't there.' (He went to find her)

(11)   ⟨*Tɨipup*⟩ *jii*   *gu*   *maikol koba'-ram*
      INT.NR   go.PFV DET Michael La Candelaria

      'Michael almost went to La Candelaria (but never left or the bus broke down on the way).'

  – *Tɨi* and *tɨip(up)* differ in their relation to temporal reference, with the latter *tɨip(up)* showing a strong preference for past/perfective interpretation

  – **NB:** overt aspectual marking on the verb does not always align with the reported temporal/aspectual interpretation. However, our consultants express clear intuitions about the aspectual properties of a situation described by frustrative-marked utterances, and we rely on their descriptions over (tentative/preliminary) glosses of temporal marking.

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[1]For current purposes, we treat both particles as monomorphemic, especially *tɨip(up)* . Willett and Willett (2015, 147) define an independent *pup* particle as indicating the absence of intention. However, we do not find the 3 extant examples for *pup* informative and our consultants thus far reject its independent use. We leave the correct morphological treatment of *tɨi* vs. *tɨip(up)* as a topic for future investigation, but meanwhile develop an analysis in which *tɨip(up)* is strictly stronger than *tɨi* (cf. Koontz-Garboden's 2007 *Monotonicity Hypothesis.*)

[2]This makes *tɨi* compatible with *optative* uses (Kroeger 2017, 2024).

(12) Context: A little league team is playing against the Generales (pro baseball team in Durango, MX):

$A\tilde{n}$ | $\boxed{ch\ddot{\imath}i}$ | $\ddot{\imath}lhi'\tilde{n}$ $na{=}m$ | gu | $a'{\sim}alh$ | $ganaru\text{-}'$
1SG.SBJ | INT.NR | think SUB=3PL.SBJ | DET | PL∼child | win-IRR

'I think the kids will win (as in, I have faith)'

(13) Context: you were warned not to wash with cold water, but did it anyways.

$\boxed{T\ddot{\imath}ipup}$ | $tu\text{-}m\text{-}aay\text{-}a'$ | $g\ddot{\imath}t$
INT.NR | DUR-2SG.SBJ-get.sick-IRR | CONTR

'Well I didn't get sick (even though you said I should have, or when I think I should've gotten sick)

- **Upshot:** the two O'dam frustratives exemplify all of the typical frustrative uses (including 'proper', incompletive, and avertive frustrativity), but divide up the space of meaning between the particles in a systematic way

    - *Crucially:* we never find instances of 'proper' frustrativity using *tïip(up)* , suggesting that this particle is incompatible with complete realization of the marked event

1.2 Goals

We aim to give a (preliminary) semantic analysis of *tïi* and *tïip(up)* that:

(a) accounts for the distribution of 'proper', incompletive, and avertive readings

(b) sheds light on the (crosslinguistic) parameters of variation in frustrativity, with consequences for broader typology

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Preview: *tïi* and *tïip(up)* encode a counter-to-expectation requirement in different ways

- Both particles assert that some portion of an event described by the marked clause is realized, but this is not always a complete/maximal instantiation.
- Frustrativity/unrealized expectation is **presupposed** in two distinct ways:
    - 'Weak' frustrativity: *tïi* commits the speaker to the non-inertial continuation of the reference situation
    - 'Strong' frustrativity: *tïip(up)* imposes non-stereotypicality by presupposing non-maximal realization of the embedded event

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2 Some background on O'dam

- O'dam[3] is a Uto-Aztecan language spoken in the Mexican states of Durango, Nayarit and Zacatecas, shown in Figure 1.

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[3]This language has also been called Southeastern Tepehuan, which I do not use in my work. While that name does not appear to be viewed as derogatory, my consultants prefer the endonym O'dam. For anyone interested, the name *tepehuan* is of Nahuatl origin, *tepē-wan* composed of *tepe-tl* 'mountain' + *-wan* 'owners, dwellers' likely meaning 'mountain dwellers/owners,' referring to where most Tepehuan peoples lived and continue to live.
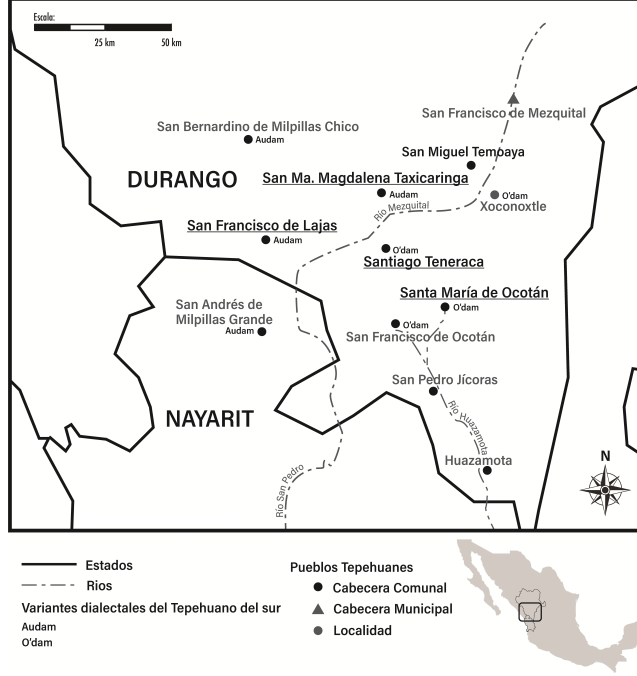
Figure 1: Map of Southern Tepehuan communities (adapted from Reyes Valdez 2007)

- The language is part of the Southern Tepehuan sub-branch. Altogether, the Southern Tepe-huan languages have around ∼44,000 speakers (INEGI 2020), although the actual vitality is difficult to ascertain (see García Salido and Everdell 2020; Torres 2018).

- O'dam shares many properties with other highly agglutinating and polysynthetic languages. The only obligatory element in a clause is the verb and the relative ordering of larger phrases (DPs, PPs, CPs) is free, although the internal ordering of those phrases, except CPs, is rigid.

- The basic structure of the clause is shown in (14): the preverbal position (PreV) consists of a range of clause-modifying particles, which are common among V-initial languages (Carnie and Guilfoyle 2000); see Everdell (2023) for arguments that O'dam is underlyingly V-final

(14)
```
            S
          /   \
        S       XP*
      /   \
   PreV*   TP
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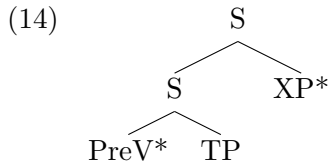
(15) *Mejor sap   ba' cham nɨɨ'ñ-am   gu   alhii*
     better REP.UI SEQ NEG see-3PL.SBJ DET child
     'Then they don't see (the) child'

- **Crucially:** O'dam frustratives always occur in PreV, taking clausal scope

3   Properties of *tɨɨ* and *tɨɨp(up)*

- **Both particles can express incompletive and avertive frustration,** as in (9) and (16)

   (9)   **Incompletive frustrativity**

      a. *Añ | tɨɨ | niɨra-'   gu   camion*
         1SG.SBJ FRST wait-IRR DET bus

         'I'm waiting for the bus (but it still has not come)' [said while you are waiting]

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b. *Añ* [*ɨ̵ipup*] *nɨɨra-t* *gu* *camion*

1SG.SBJ FRST.NONMAX wait-IMPF DET bus

'I was waiting for the bus (but it never came)'

(16) **Avertive frustrativity**

a. *cham bia'-iñ* *gu* *popotes,* [*ɨ̵i*] *ba-ja-saba'n-mɨra-k-añi-ch*

NEG have-1SG.SBJ DET chips FRST CMP-3PL.PO-buy-MOV-PNCT-1SG.SBJ-PFV

mu tienda

DIR store

'I don't have chips, I was going to buy them at the store (but I turned around)'

b. [*ɨ̵ipup*] *jii-ñi-ch* *mu* *tienda*

FRST.NONMAX go.PFV-1SG.SBJ-PFV DIR store

'I almost went to the store (but I never even left and now I won't/can't go).'

- However **only *ɨ̵i* can express 'proper' frustrativity,** as in (17a). The minimally distinct (17b) is only felicitous on an avertive reading (*ɨ̵ip(up)* is unacceptable if the snake is dead)

(17) **'Proper' frustrativity** (only *ɨ̵i*)

a. *Ap* [*ɨ̵i*] *mua* *dhi-ñi* *ko'*

2SG.SBJ FRST kill.SG DEM.PROX-VIZ snake

'You killed this snake (but someone else took it to eat it)'

b. *Ap* [*ɨ̵ipup*] *mua* *dhi'-ñi* *ko'*

2SG.SBJ FRST.NONMAX kill.SG DEM.PROX-VIZ snake

True frustrative: #You successfully killed this snake but...
Avertive: 'You almost killed this snake (but it escaped)'

- **Further differences:** both (18a) and (18b) (with the verb *machia'* 'learn') express that the speaker's reference-time knowledge of O'dam is not sufficient for communication, but **only *ɨ̵i* allows the possibility of future fulfillment**

(18) a. Context: Wendy's mom asks me if I speak O'dam

[*Tɨ̵i*] *na=ñ* *machi-a'* *gu* *o'dam*

FRST SUB=1SG.SBJ learn-IRR DET O'dam

'I'm still learning O'dam (i.e. I will continue to learn it but currently I cannot speak it adequately)

b. Context: I got in a huge fight with all of my consultants and am never coming back to Durango

[*Tɨ̵ipup*] *na=ñ* *machi-a'* *gu* *o'dam*

FRST SUB=1SG.SBJ learn-IRR DET O'dam

'I almost learned O'dam (but now I never will)'

- **Note:** incompletive readings with *ɨ̵ip(up)* are best described as **non-maximal**: *Tɨ̵ipup* is only ruled out when the marked predicate cannot be partially realized (i.e., where there is no way for a proper part of the target event to occur)

  – As (19) shows *ɨ̵ip(up)* is not restricted to describing developmentally-incomplete realizations of telic predicates, but can also combine with atelic predicates where an object/theme can be partially affected.

(19) *Xib* $\boxed{\textit{tɨipup}}$ *tɨi-ñi-ch* *gu* *marcelo jix=bhai'*
today FRST.NONMAX see.PFV-1SG.SBJ-PFV DET Marcelo COP=good

jiñ-chat-iñ
1SG.MID-feel-1SG.SBJ

'I got a glimpse of Marcelo today (e.g. through the grates of a fence), I feel great!'
Speaker comment: It sounds like you're a huge fan of Marcelo.

– *Tɨipup* is only ruled out when the marked predicate cannot be partially realized (i.e., where there is no way for a proper part of the target event to occur)

(20) $\boxed{\begin{array}{c}\textit{Tɨipup}\\ \text{FRST}\end{array}}$ *na=ñ* *chu-mataimda-'*
SUB=1SG.SBJ DUR-nixtamalize-IRR

'I was gonna nixtamalize (corn)' (defaults to avertive)
...but I didn't because I already have lots of tortillas (i.e. I don't need more)

∗ *Consequence:* any frustrative-modified situation in which the corn is nixtamalized improperly is covered by *tɨi*, as in (21)

(21) a. $\boxed{\textit{Tɨi}}$ *tu-mataima'n-iñ* *dai na=ñi-ch* *alhi'ch* *mui'*
FRST DUR-nixtamalize-1SG.SBJ but SUB=1SG.SBJ-PFV a.little.bit DIR

bui'ñ *matai*
throw.PFV lime

'I am nixtamalizing (corn) but I put too little lime in' ('proper')

b. $\boxed{\textit{Tɨi}}$ *tu-mataima'n-iñ* *dai na=ñi-ch* *gɇ' mui'*
FRST DUR-nixtamalize-1SG.SBJ but SUB=1SG.SBJ-PFV big DIR

bui'ñ *matai*
throw.PFV lime

'I am nixtamalizing (corn) but I put too much lime in' ('proper')
Speaker comment: the corn will nixtamalize, but it will be different (lit. *fuerte* 'strong').

– Modifying the verb *mu'aa'* 'kill' with *tɨip(up)* as in (17b) also yields only the avertive reading: no partial realization is possible because things cannot be partly dead.

4 A starting point: Tohono O'odham *cem*

The frustrative particles in O'dam and Tohono O'odham are cognates, so Copley and Harley's (2014) account of Tohono O'odham *cem* seems like a natural jumping-off point:

- **Proposal:** *cem*/FRST **takes an aspectually-modified proposition** as its argument
  (a) *cem(p)* asserts that the reference situation *s* verifies *p*
  (b) *cem(p)* presupposes that *s* is not **efficacious** (i.e., develops non-inertially; cf. Dowty 1979)
- **Predicts** 'proper' frustrative, incompletive, and avertive readings from (respective) composition with *perfective, imperfective,* and *prospective*-marked propositions
- **Implementation:** Copley and Harley's (2015) **force dynamics** framework
  - (Neo-)Davidsonian events are replaced by *forces* which express deterministic relations (i.e., functions, $\langle s, s \rangle$) between *situations* ("annotated snapshots of individuals and properties"); propositions and statives are situation predicates

(22) a. $\llbracket[_{vP}$ Juan open the door$]\rrbracket = \lambda f.\text{source}(\text{Juan}, f) \& \llbracket[_{SC}$ open the door$]\rrbracket (\text{fin}(f))$

  b. $\llbracket[_{SC}$ open the door$]\rrbracket = \lambda s.$the door is open in s

– Situations are associated with **net forces** (net(s)) which predict their unique **successors**

(23) a. Initial situation of a force: $\text{init}(f) = \text{net}^{-1}(f)$

  b. Final situation of a force: $\text{fin}(f) = f(\text{net}^{-1}(f))$

  c. Successor of a situation: $\text{succ}(s) = \text{fin}(\text{net}(s))$

  d. Predecessor of a situation: $\text{pred}(s) = \text{succ}^{-1}(s)$

– Grammatical aspects map predicates of forces to predicates of situations:

(24) a. $\llbracket\text{PFV}\rrbracket := \lambda\pi_{ft}\lambda s.\pi(\text{net}(\text{pred}(s)))$ completive/resultative

  b. $\llbracket\text{IMPF}\rrbracket := \lambda\pi_{ft}\lambda s.\pi(\text{net}(s))$ ongoing

  c. $\llbracket\text{PROSP}\rrbracket := \lambda\pi_{ft}\lambda s.\pi(\text{net}(\text{succ}(s)))^4$ in-prep

4.1 Deriving frustrative meaning in Tohono O'odham

(25) **Proposal for *cem*:**   (Copley and Harley 2014, p.139)

  $\llbracket\text{cem}\rrbracket := \lambda s\lambda p.p(s)$, defined iff s is not **efficacious** (the successor of s does not obtain)

1. **Proper frustrative:** *cem* + PFV

  Juan's door-opening force holds of the predecessor of the topic situation, so *cem*'s presupposition requires that the situation which results from this force does not develop efficaciously (i.e., some expected consequence of the door being open does not occur)

(1) *Huan 'at* $\boxed{cem}$ *ku:pio g*   *pualt*
  Juan aux-PFV FRST open DET door

  'Juan opened the door (in vain).'

(26) $\llbracket(1)\rrbracket = \llbracket cem(\text{PFV}([_{vP}$ Juan open the door$)))\rrbracket$
  $= \lambda s.\text{source}(\text{Juan}, \text{net}(\text{pred}(s))) \&$ the door is open in s, $(s = \text{fin}(\text{net}(\text{pred}(s))))$
  defined iff s is not efficacious

2. **Incompletive:** *cem* + IMPF

  Juan's door-opening force is the net force of the topic situation, so its expected result (the door being open) is not realized.

(3) *Huan 'o* $\boxed{cem}$ *kukpi'ok*   *g*   *pualt*
  Juan aux-IMPF FRST open-IMPF DET door

  'Juan was trying to open the door.'

(27) $\llbracket(3)\rrbracket = \llbracket cem(\text{IMPF}([_{vP}$ Juan open the door$)))\rrbracket$
  $= \lambda s.\text{source}(\text{Juan}, \text{net}(s)) \&$ the door is open in $\text{succ}(s)$ $(\text{succ}(s) = \text{fin}(\text{net}(s)))$
  defined iff s is not efficacious

3. **Avertive:** *cem* + PROSP

  Juan's door-opening force holds of the net force of the successor of the topic situation s, so non-efficacy of s means that the force never arises (i.e., he does not start opening the door)

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[4]This is simplified from Copley and Harley (2014); their lexical entry requires *some* (not necessarily the immediate) successor of s to have π as its net force.

(5) *Huan 'at   o | cem | kukpio g   pualt*
    Juan aux-PF FUT FRST open   DET door

    'Juan is/was going to open the door.'

(28) $[\![(5)]\!] = [\![cem(\text{PROSP}([_{vP} \text{ Juan open the door}))]\!]$
    $= \lambda s.\text{source}(\text{Juan}, \text{net}(\text{succ}((s))) \,\&\, \text{the door is open in succ}^2(s)$
    defined iff s is not efficacious $(\text{succ}^2(s) = \text{fin}(\text{net}(\text{succ}(s))))$

4.2 Some limitations

- **Crosslinguistically:** the force dynamics framework establishes a (sequential) causal relationship between the established proposition and the 'frustrated' situation. Davis and Matthewson (2022) have argued that this not right for all frustratives: St'át'imcets *séna7* simply presupposes the **unexpected co-occurrence** of the marked clause and a second, contextually-supplied situation[5]

  (29) **Proposal for *séna7*:**   (St'át'imcets; Davis and Matthewson 2022)
      $[\![\text{séna7}(p)]\!]^{c,w} = [\![p]\!]^{c,w}$,
      defined iff $\exists q[q(w) \,\&\, \neg\exists w'[w' \in \text{OPT}_{\text{stereo}(w),\text{ep}(\text{sp},c,w)} : p(w') \,\&\, q(w')]]$

  (30) *q'wel | séna7 | ta=tsíken=a,   t'u7 cw7áoy=t'u7*
      get.cooked FRST   DET=chicken=EXIS but NEG=EXCL

      kw=s=q'wel=s   i=petáok=a
      DET=NMLZ=get.cooked=3POSS PL.DET=potato=EXIS

      'The chicken got cooked but the potatoes didn't.'
      (Context: I cooked for family, and thought that potatoes & chicken would be ready together)
      p = The chicken got cooked; q = The potatoes got cooked

- **O'dam-specific challenges:**

  1. Non-efficacy in the force dynamics requires that the 'frustrated' situation does not and cannot obtain; this is too strong for Odam *tɨi* (see above). There is no obvious way to weaken the requirement in Copley and Harley's framework.

  2. Aspect is not always clearly marked in the O'dam data (unlike the Tohono O'odham data presented in Copley and Harley 2014[6]); where it is marked, it does not necessarily match the predictions of the above account (see, e.g., the distribution of perfective marking).

  3. Copley and Harley's analysis does not leave room for the division of frustrative labour which we find in O'dam (i.e., no proper frustrativity with *tɨip(up)*); our intuition is that predicate completion and frustrative non-inertia need to be decoupled

5 Towards an account of frustrativity in O'dam

Two key desiderata:

1. The division of labour should fall out from a simple semantic contrast between the particles

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[5]We stick to causal description for the time being, since we have not yet explored the full range of 'unexpected co-occurrence' readings as described by Davis and Matthewson (2022).

[6]H. Harley, p.c., reports that this may be oversimplified even for Tohono O'odham.

2. Non-efficacy must be variable (weak vs. strong):

   (a) 'Weak' frustrativity: non-commitment to the expected result/inertial development of the marked clause (*tɨi*)

   (b) 'Strong' frustrativity: commitment to non-maximal realization of the embedded predicate (entails non-inertial continuation; *tɨip(up)*)

5.1   Target interpretations

Basic points (cf. Copley and Harley 2014; Davis and Matthewson 2016, 2022):

(a) Frustratives assert (partial or total) realization of an eventuality predicate in their scope

(b) Frustrative-marked claims get their special effect from *not at-issue* content: specifically, a presupposition of non-stereotypicality (*non-inertia;* Dowty 1979) in the utterance context (realized in one of two ways)

- We assume a *branching time framework* (Thomason 1984): the set of accessible *historical alternatives* $\text{HIST}(w, t)$ is the set of worlds that share a history with w through time t
- The set of *inertial futures* of context c at $\langle w, t \rangle$ is that subset of $\text{HIST}(w, t)$ in which events in c develop in the maximally stereotypical (causally normal; Nadathur 2023) manner:

$$\text{INR}(c, w, t) := \text{BEST}_{\text{caus}(c,w,t)}(\cap \text{HIST}(w, t))$$

We assume that a *stereotypical* ordering source is *causal* in nature (Nadathur and Filip 2021), derived from the causal laws of a contextually-relevant causal model (Kaufmann 2013)

Target readings: ignoring (not) at-issue status, assuming P denotes only maximal instantiations

1. **'Proper':** P is (maximally) completed within t but w develops non-stereotypically after t

   (31)   a. Weak: $\lambda w \lambda t \lambda P.\exists e[\tau(e) \subseteq t \,\&\, P(e)(w)] \,\&\, w \notin \text{INR}(c, w, t)$
   Non-commitment to inertial continuation

   b. Strong: $\lambda w \lambda t \lambda P.\exists e[\tau(e) \subseteq t \,\&\, P(e)(w')] \,\&$
   $\exists q[\forall w' \in \text{INR}(c, w, t)[\exists t'.t \prec t' \,\&\, q(w', t') \,\&\, \neg q(w, t')]$
   Commitment to failure of specific (salient) outcome (unattested in O'dam)

2. **Incompletive:** P is partially realized at t, w continues abnormally after t

   (32)   a. Weak: $\lambda w \lambda t \lambda P.\forall w' \in \text{INR}(c, w, t)[\exists e.\tau(e) \supseteq t \,\&\, P(e)(w')] \,\&\, w \notin \text{INR}(c, w, t)$
   Non-commitment to maximality/completion of P-eventuality

   b. Strong: $\lambda w \lambda t \lambda P.\forall w' \in \text{INR}(c, w, t)[\exists e.\tau(e) \supseteq t \,\&\, P(e)(w')] \,\&\, \neg\exists e.P(e)(w)$
   Commitment to non-maximality/non-completion of P-eventuality

3. **Avertive:** a preparatory event for P is partially realized at t, but w does not develop normally

   (33)   a. Weak: $\lambda w \lambda t \lambda P.\forall w' \in \text{INR}(c, w, t)[\exists e.t \prec \tau(e) \,\&\, P(e)(w')] \,\&\, w \notin \text{INR}(c, w, t)$
   Non-commitment to (future) realization of P

   b. Strong: $\lambda w \lambda t \lambda P.\forall w' \in \text{INR}(c, w, t)[\exists e.t \prec \tau(e) \,\&\, P(e)(w')] \,\&\, \neg\exists e.P(e)(w)$
   Commitment to non-realization of P in the future

Key points:

- Frustrativity indicates that some notion of stereotypicality/expectation is actually subverted, but can vary in what the speaker is committed to

- Frustrativity interacts with temporal aspectual information, but notions of 'event completion' are not wholly aspectually-governed in O'dam:the challenge is to separate event information relevant for frustrativity from aspectual semantics

  - As formulated, **strong incompletive frustrativity** looks like the composition of a progressive proposition with a strong frustrative presupposition, but we've seen that O'dam allows readings of this sort (with *tɨip(up)*) in the absence of IMPF/PROG marking

- *Upshot:* we can make the right predictions for O'dam frustratives by separating aspect marking from event maximality

5.2   Incorporating partial realizations

Proposal: Frustrativity in O'dam (and other languages) uniformly realizes some portion of the marked eventuality (but does not inherently specify how much)

- **Incompletive frustrativity** (strong or weak) **instantiates something non-maximal** in the denotation of the input predicate (we adopt Nadathur and Filip's 2021 proposal for handling partial realizations of telic predicates; see appendix)

- Following Kroeger (2024), we treat **avertive frustrativity as a special case of the incompletive** reading: the underlying predicate P is first coerced into a (telic) inchoative INCHO(P), which picks out a set of (causally) preparatory events for P's initiation

$$[\![\text{INCHO}(P)]\!] := \lambda e.\exists e'[e \prec e' \,\&\, \text{CAUSE}(e, e') \,\&\, P(e')]^7$$

- The contrast between **strong and weak frustrativity boils down to a contrast between specific and non-specific abnormality**, mediated via non-maximality

  - Weak *tɨi* presupposes that the actual world is causally atypical (from the reference perspective), but does not commit the speaker to non-realization of a particular outcome: this leaves room for 'better outcomes'

  - Strong *tɨip(up)* presupposes that atypicality is predicate-specific: maximal realization of the input predicate is precluded, blocking 'proper' frustrativity (as desired)

Lexical entries/implementation:

- FRST composes with both aspect (ASP $\in$ {PFV, IMPF}) and a predicate of eventualities:

  (34)   a. $[\![\text{tɨi}(\text{ASP}, P)]\!]^{c,w,t} := \text{ASP}(P, w, t) \,\&\, \partial(w \in \text{INR}(c, w, t))$
         b. $[\![\text{tɨipup}(\text{ASP}, P)]\!]^{c,w,t} := \text{ASP}(P, w, t) \,\&\, \partial(\neg\text{MAX}(P, w, t))$

- Predicate P can be maximally or non-maximally instantiated (*modulo* cases like 17, 21): we take this to be a mereological property handled at the predicate level (i.e., partitivity is independent of aspect)

$$\text{MAX}(P) := \exists e.P(e) \,\&\, \forall e'[e \sqsubset e' \rightarrow \neg P(e')]$$

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[7]This is a placeholder; the CAUSE predicate may ultimately be replaced with universal quantification over causally normal worlds, to align INCHO with Nadathur and Filip's treatment of telic eventuality predicates.

- *Tɨip(up)* presupposes non-maximality, so that only incompletive/avertive readings are possible; the weaker presupposition of *tɨi* makes it compatible with all three readings

- Assuming that maximal realization is predicted by the (causally) normal continuation of a partial P-event, *tɨip(up)* imposes a strictly stronger type of non-inertia than *tɨi*

- Aspectual operators instantiate P-eventualities with respect to reference time: PFV requires that a P-event terminates within reference time, IMPF contains reference time in a P-event

(35) a. $[\![\text{PFV}]\!] := \lambda w \lambda t \lambda P. \exists e[\tau(e) \subseteq t \,\&\, P(e)(w) \,\&\, \forall e'[e \sqsubset e' \rightarrow \neg P(e')(w)]]$
      b. $[\![\text{IMPF}]\!] := \lambda w \lambda t \lambda P. \exists e[\tau(e) \supset t \,\&\, P(e)(w)]$

- **Further predictions:**

  - Because *tɨip(up)* rules out maximal instantiation of P, the speaker has to have access to information which determines how the instantiated event actually turned out; this predicts the default past orientation of *tɨip(up)* claims

  - Weak frustrative *tɨi* simply requires the speaker to have some reason to believe that ongoing events will not develop normally, allowing both past and present orientation for frustrative claims

- **Clausal scope(?)**

  - We believe that this (non-)maximality-based analysis of frustrativity also gives us insight into why it is that *tɨi* and *tɨip(up)* differ in the extent to which they can affect the interpretation of clauses outside of the one they appear in.

  - In short, once the frustrative has affected its associated predication (e.g. by limiting it to a non-maximal instantiation) it cannot go on to frustrate a later predicate. *tɨip(up)* , then, is scopally restricted to its own clause because it must frustrate the event expressed within its clause (i.e. not some consequent event).

  - If we compare the minimally contrastive sentences in (19), with *tɨip(up)* , and (36), in *tɨi* , we see that they differ in the interpretation of the subsequent copular clause. With *tɨip(up)* the copular clause asserts that the speaker is happy, while with *tɨi* the copular clause is interpreted as asserting that they speaker should be *but is not* happy.

    (19) *Xib* | *tɨipup* | *tɨi-ñi-ch*   *gu* *marcelo jix=bhai'*
          today FRST.NMAX see.PFV-1SG.SBJ-PFV DET Marcelo COP=good
          jiñ-chat-iñ
          1SG.MID-feel-1SG.SBJ

          'I got a glimpse of Marcelo today (e.g. through the grates of a fence), I feel great!'
          Speaker comment: It sounds like you're a huge fan of Marcelo.

    (36) *Xib* | *tɨi* | *tɨi-ñi-ch*   *gu* *marcelo, jix=bhai'*
          today FRST see.PFV-1SG.SBJ-PFV DET Marcelo COP=good
          jiñ-chat-iñ
          1SG.MID-feel-1SG.SBJ

          'I met Marcelo today, I (should) feel good' (like you were sad or mad before meeting him and you were hoping he'd cheer you up)

6 Conclusions and outlook

- The challenge in analyzing frustrative marking is twofold:
  - (a) explaining variability in how much of a marked event is realized
  - (b) linking the marked clause and the frustrated outcome
- Existing analyses (e.g., Copley and Harley 2014) link (a) to aspectual modification, but this is not (by itself) enough to explain the distribution of O'dam frustratives
- Frustratives across languages vary in strength: the cases analyzed by Copley and Harley (2014) and Davis and Matthewson (2022) preclude a particular salient outcome, but this is too strong for O'dam *tɨi* (and other frustratives with, e.g., optative uses)
- O'dam frustrativity sheds some light on the crosslinguistic landscape:
  - The contrast between *tɨi* and *tɨip(up)* motivates a role for (potentially pragmatically-adjudicated) notions of maximality (partial realization) independent of aspect
  - The account aligns with existing work suggesting that frustratives invoke notions of abnormal or non-stereotypical development, but shows that there must be (at least) two ways of realizing this requirement
- We focused in this talk on just three uses of frustrative markers: it remains to be seen if the analysis makes the right predictions for discontinuous pasts, optative/request uses and/or frustrative-marked conditionals.
  - *hint:* we think it does, but we need to work out the details, feel free to ask us in the Q&A!!

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Appendix: non-maximality for telic predicates

Nadathur and Filip (2021) propose that telicity is an inherently intensional property, building a notion of (valid) partial realization into (telic) event predicates:

- *Idea:* uninflected telic predicates denote both culminated (maximal) and non-culminated (non-maximal) eventualities
- Eventualities in $[\![P]\!]$ involve an inherent limit, often an upper bound, i.e., a *télos* (broadly construed) or *culmination condition*
- Eventualities in $[\![P]\!]$ are parts of teleologically-optimal worlds (i.e., causally normal worlds in which P's culmination condition is realized)

Teleological alternatives in causal terms:
- Given a goal G, conversational backgrounds f, g and world w, the set of teleological alternatives in w is given by: $\{w' : \text{Best}(g(w))((\cap f(w)) \cap G)\}$ (von Fintel and Iatridou 2005)
- f is circumstantial (here, historical), picking out propositions which describe goal-relevant circumstances at a particular point in time
- ordering source is stereotypical, picking out a set of causal laws describing relationships between (relevant) propositions in a causal model (Kaufmann 2013)

Given a *causal model* D encoding causal relationships between propositions in context c:

- Let $s \subseteq c$ be a starting situation s.t.:
    - s does not exhaust its own causal consequences (is open with respect to D)
    - s contains propositions specifying the conditions under which P's culmination condition (K) is realizable
- $e \in [\![P]\!]^c$ iff e is a *continuous causal development* of s in a teleological alternative for K: s provides the modal base and D the ordering source (cf. Kaufmann 2013)
- teleological alternatives are those causally optimal worlds, given s, which eventually verify K (at a time t_f, where starting time $t_0 \prec t_f$)
- P-eventualities minimally verify s at t_0
- larger P-eventualities run from s at t_0 to $s' \supset s$ at $t' \prec t_f$, tracking normal causal developments of s towards K
- maximal P-eventualities run from s at t_0 and end at t_f, verifying K
- $e_1, e_2 \in [\![P]\!]^c, e_1 \sqsubseteq e_2$ iff e_2 is an uninterrupted causal continuation of e_1 and $\exists e_3 \in [\![P]\!]^c$ s.t. $e_1, e_2 \sqsubseteq e_3$ and e_3 verifies K (at t_f)
- **Upshot:** *partial realizations* of P are unified with complete/maximal ones as events which lead to K if only s is taken into account